


JFW

I hereby certify that this correspondence is being deposited in the United States Postal Services as First Class Mail in an envelope addressed to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450


Attorney for Applicant



Date: 18 June 2004

Application No. : 10/701,860
Applicant : Xu Zhu, et al.
Filed : 05 November 2003
TC/A.U. : 2812
Examiner : Not Yet Assigned

Atty. Docket No. : DB001092-000
Customer No. : 24122

To: Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Supplemental Information Disclosure Statement

Sir:

Pursuant to the provisions of 37 CFR §§1.56 and 1.97-98, enclosed herewith is:

☒ Form PTO/SB/08A

☒ Form PTO/SB/08B

listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is "prior art" to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability as defined in 37 CFR §1.56, or that no other material information exists, and shall not be construed as an admission against applicant(s) interest in any manner.

Application No.: 10/701,860

Dated: June 18 2004

Supplemental Information Disclosure Statement

The Information Disclosure Statement submitted herewith is being filed pursuant to:

☐ 37 C.F.R. §1.97(b)(1); no fee is required;

OR

☐ 37 C.F.R. §1.97(b)(2); no fee is required;

OR

☒ 37 C.F.R. §1.97(b)(3); no fee is required;

OR

☐ 37 C.F.R. §1.97(b)(4); no fee is required;

OR

☐ 37 C.F.R. §1.97(c);

AND either

☐ The fee set forth in §1.17(p) is attached; OR

Applicant certifies pursuant to 37 CFR §1.97(e) that:

☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR

☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification after making reasonable inquiry, no item contained in the Information Disclosure Statement was known to any individual designated under 37 CFR §1.56(c) more than three months prior to the filing of this statement;

OR

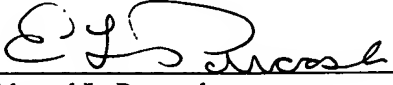
Application No.: 10/701,860
Dated: June 8 2004
Supplemental Information Disclosure Statement

- ☐ 37 C.F.R. §1.97(d); the fee set forth in §1.17(p) is attached,
AND
- ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification after making reasonable inquiry, no item contained in the Information Disclosure Statement was known to any individual designated under 37 CFR §1.56(c) more than three months prior to the filing of this Statement.

Applicant respectfully requests that the listed references be considered by the Examiner, and that the Examiner acknowledge consideration of these references by initialing and returning a copy of the attached form(s) with the next Official Action.

Respectfully submitted,

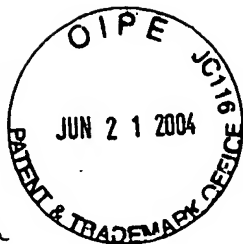
Dated: June 18, 2004


Edward L. Pencoske
Reg. No. 29,688
Thorp Reed & Armstrong, LLP
One Oxford Centre, 14th Floor
301 Grant Street
Pittsburgh, Pennsylvania 15219-1425
Tel.: 412/394-7789
Fax: 412/394-2555

I hereby certify that this correspondence is being deposited in the United States Postal Services as First Class Mail in an envelope addressed to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450


Attorney for Applicant



Date: 18 June 2004

Application No. : 10/701,860
Applicant : Xu Zhu, et al.
Filed : 05 November 2003
TC/A.U. : 2812
Examiner : Not Yet Assigned

Atty. Docket No. : DB001092-000
Customer No. : 24122

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Enclosed for filing in the above-captioned application, please find the following:

- A Supplemental Information Disclosure Statement (3 pgs.);
- Form PTO SB/08A (1 pg.);
- Form PTO SB/08B (1 pg.); and
- copies of 2 cited references.

Also enclosed is a return postcard. Please date stamp and mail the postcard in order to acknowledge receipt of the above-reference correspondence. The Commissioner is hereby authorized to charge any underpayment or credit any overpayment to our Deposit Account No. 20-0888. A duplicate copy of this transmittal is enclosed.

Respectfully submitted,



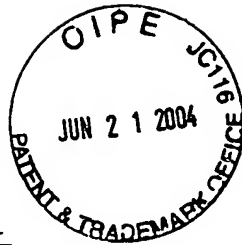
Edward L. Pencoske
Reg. No. 29,688
Thorp Reed & Armstrong, LLP
One Oxford Centre
301 Grant Street, 14th Floor
Pittsburgh, Pennsylvania 15219-1425
Tel.: 412/394-7789
Fax: 412/394-2555
Attorney for Applicant

Dated: June 18, 2004

I hereby certify that this correspondence is being deposited in the United States Postal Services as First Class Mail in an envelope addressed to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450


Attorney for Applicant



Date: 18 June 2004

Application No. : 10/701,860
Applicant : Xu Zhu, et al.
Filed : 05 November 2003
TC/A.U. : 2812
Examiner : Not Yet Assigned

Atty. Docket No. : DB001092-000
Customer No. : 24122

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Enclosed for filing in the above-captioned application, please find the following:

- A Supplemental Information Disclosure Statement (3 pgs.);
- Form PTO SB/08A (1 pg.);
- Form PTO SB/08B (1 pg.); and
- copies of 2 cited references.

Also enclosed is a return postcard. Please date stamp and mail the postcard in order to acknowledge receipt of the above-reference correspondence. The Commissioner is hereby authorized to charge any underpayment or credit any overpayment to our Deposit Account No. 20-0888. A duplicate copy of this transmittal is enclosed.

Respectfully submitted,



Edward L. Pencoske
Reg. No. 29,688
Thorp Reed & Armstrong, LLP
One Oxford Centre
301 Grant Street, 14th Floor
Pittsburgh, Pennsylvania 15219-1425
Tel.: 412/394-7789
Fax: 412/394-2555
Attorney for Applicant

Dated: June 18, 2004

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known	
		Application Number	10/701,860
		Filing Date	05 November 2003
		First Named Inventor	Xu Zhu, et al.
		Art Unit	2812
		Examiner Name	Not Yet Assigned
Sheet 2	of 2	Attorney Docket Number DB001092-000	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		KAIGHAM J. GABRIEL, XU ZHU, U.S. Patent Application S.N. 10/349,618 filed 01/23/2003	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.